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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 428.1054 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/537688
INTERNATIONAL APPLICATION NO. PCT/KR2003/002654	INTERNATIONAL FILING DATE 4 December 2003	PRIORITY DATE CLAIMED 27 December 2002
TITLE OF INVENTION EXTRACT OF CERCIS CHINENSIS HAVING ANTI-OXIDANT ACTIVITY AND ANTI-AGING ACTIVITY, AND COSMETICAL COMPOSITION CONTAINING THE EXTRACT FOR ANTI-OXIDATION, SKIN-AGING...		
APPLICANT(S) FOR DO/EO/US NA, Min Kyun; YOO, Jae-Kuk; LEE, Chan Bog; KIM, Jin Pyo; LIM, Gon Hyeok; MIN, Dong Il and JEON, Young Min		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Acknowledgment Postcard 		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR

10/537688

INTERNATIONAL APPLICATION NO.

PCT/KR2003/002654

ATTORNEY'S DOCKET NUMBER

428.1054

The following fees are submitted:

21. ☒ Basic national fee \$300

CALCULATIONS
\$ \$300.00

PTO USE ONLY

22. ☒ Examination fee
If International preliminary examination report prepared by USPTO and all claims
satisfy provisions of PCT Article 33(1)-(4). \$100
All other situations. \$200

\$ \$200.00

23. ☒ Search fee
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the
USPTO as an International Searching Authority \$100
International Search Report prepared and provided to the Office \$400
All other situations. \$500

\$ \$500.00

TOTAL OF 21, 22 and 23 =

\$ \$1,000.00

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding
sequence listing or computer program listing filed in an electronic medium). The fee is
\$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE
- 100 =	0 /50 =	0	x \$250.00

\$ \$0.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months
from the earliest claimed priority date (37 CFR 1.492(h)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	13 - 20 =	0	x \$50.00

\$ \$0.00

Independent claims	2 - 3 =	0	x \$200.00
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\$ \$0.00

Multiple Dependent Claims (if applicable) ☐ + \$360.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$ \$1,000.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are
reduced by 1/2.

\$ \$0.00

SUBTOTAL =

\$ \$1,000.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months from
the earliest claimed priority date (37 CFR 1.492(i)).

\$

TOTAL NATIONAL FEE =

\$ \$1,000.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must
be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +

\$ \$40.00

TOTAL FEES ENCLOSED =

\$ \$1,040.00

Amount to be
refunded:

\$

Amount to be
charged:

\$

a. ☐ A check in the amount of _____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment
to Deposit Account No. 02-2275. A duplicate copy of this sheet is enclosed.

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information
should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))
must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Michael N. Mercanti
LUCAS & MERCANTI, LLP
475 Park Avenue South
New York, New York 10016
Phone: 212-661-8000
Fax: 212-661-8002

SIGNATURE

Michael N. Mercanti

NAME

33,966

REGISTRATION NUMBER

10/537688

JCO9 Rec'd PCT/PTO 06 JUN 2005

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Docket No.

Applicant(s): NA, Min Kyun, et al

428.1054

Application No. To be assigned	Filing Date Herewith	Examiner Unknown	Customer No. 20311	Group Art Unit Unknown
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Invention: EXTRACT OF CERCIS CHINENSIS HAVING ANTI-OXIDANT ACTIVITY AND ANTI-AGING ACTIVITY, AND COSMETICAL COMPOSITION CONTAINING THE EXTRACT FOR ANTI-OXIDATION, SKIN-AGING...

I hereby certify that the following correspondence:

PTO-1390 Form (2 pages, page 2 in duplicate)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

June 6, 2005

(Date)

Carla Santos

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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10/537688

JC09 Rec'd PCT/PTO 06 JUN 2005

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): NA, Min Kyun, et al

Docket No.

428.1054

Application No.

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Unknown

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Invention:

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I hereby certify that the following correspondence:

PCT Application (93 pages), Figures (11 pages) and International Search Report (3 pages)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

June 6, 2005*(Date)*Carla Santos*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EV 733 806 337 US*("Express Mail" Mailing Label Number)*

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